

**ON A DUAL VARIATIONAL PRINCIPLE IN GEOMETRICALLY  
NONLINEAR ELASTICITY THEORY**

PMM Vol. 43, No. 2, 1979, pp. 321-329

V. L. BERDICHEVSKII and V. A. MISIURA

(Moscow)

(Received March 29, 1978)

A method for constructing a dual variational principle in geometrically nonlinear elasticity theory is elucidated. The dual functional (a functional of Castigliano type) is evaluated in the case of an isotropic semilinear material.

**1. Introduction.** The true displacement field in geometrically linear elasticity theory is the extremal of a Lagrange functional  $I(u)$  defined on admissible displacement fields  $u$

$$\delta I(u) = 0 \quad (1.1)$$

and the true stress field is the extremal of the Castigliano functional  $J(\sigma)$ , defined on the admissible stress fields  $\sigma$

$$\delta J(\sigma) = 0 \quad (1.2)$$

It is essential that the Lagrange and Castigliano functionals form a pair of dual functionals. This means that in addition to (1.1) and (1.2), the equation

$$\inf_u I(u) = \sup_\sigma J(\sigma) \quad (1.3)$$

is valid. The equality (1.3) permits the construction of two-sided estimates of the elastic energy [1]. Two-sided estimates of the effective elastic moduli of micro-inhomogeneous elastic bodies or inequalities permitting the proof of the asymptotic accuracy of applied theories of rods, plates, and shells result from these estimates, for example.

Because of the nonconvexity, it is difficult to depend on the existence of a functional simultaneously satisfying the conditions (1.2) and (1.3) in the geometrically nonlinear theory of elasticity. Zubov [2] constructed a functional  $J(\sigma)$  satisfying condition (1.2). Koiter [3] turned attention to the fact that the Legendre transformation used in [2] results in a multivalued functional and it remains unclear how the branch of  $J(\sigma)$  should be selected. The Zubov paper [4] is devoted to this question.

A number of questions associated with the construction of the functional  $J(\sigma)$  was discussed in [5-13]. Let us also mention the works on variational problems with nonconvex functionals [14, 15].

A functional  $J(\sigma)$  is constructed below, which does not generally satisfy (1.2), however, is subject to the relationship

$$\sup_\sigma J(\sigma) \leq \inf_u I(u) \quad (1.4)$$

An important property of the dual variational principle is hence conserved, the possibility of constructing bilateral energy estimates.

**2. Lagrange functional.** Let us consider a geometrically nonlinear

body occupying a domain  $V_0$  with piecewise-smooth boundary  $\partial V_0$  in the undeformed state. Let  $x^i$  denote the coordinates of the Cartesian reference system of the observer, and  $\xi^p$  the coordinates of an accompanying reference system. The indices by which manipulations occur during manipulation of the observer reference system are marked by the symbols  $i, j, k, \dots$ , while manipulations in the accompanying coordinate system are marked by  $p, q, r, \dots$ . The locations of the particles in the undeformed and deformed states are given by the functions  $x_0^i = x_0^i(\xi^p)$  and  $x^i = x^i(\xi^p)$ , respectively. The boundary  $\partial V_0$  is separated into two parts,  $S$  and  $\Sigma$ , where surface "dead" loads  $p_i$  are given on  $S$  and the positions of the particles in the deformed state

$$x^i(\xi^p)|_{\Sigma} = \varphi^i(\xi^p) \quad (2.1)$$

are given on  $\Sigma$ .

Let us define the functional [16]

$$I[x^i(\xi^p)] = E(x^i) - l(x^i) \quad (2.2)$$

$$E(x^i) = \int_{V_0} U(x_p^i) d\tau, \quad l(x^i) = \int_{V_0} F_i x^i(\xi^p) d\tau + \int_{\partial V_0} p_i x^i(\xi^p) d\sigma$$

Here  $U$  is the internal energy density,  $x_p^i = \partial x^i / \partial \xi^p$  is the strain gradient,  $F_i$  is the mass force density. Later we will assume that the minimum value of the functional (2.2) is achieved on functions satisfying the condition

$$\det \|x_p^i\| > 0 \quad (2.3)$$

The equilibrium positions of bodies are critical points of the functional (2.2) in a set of functions satisfying conditions (2.1).

Usually  $U$  is given in the geometrically nonlinear theory of elasticity as the convex function  $\varepsilon_{pq}$  or  $\gamma_{pq}$

$$\begin{aligned} \varepsilon_{pq} &= 1/2 (g_{ij} x_p^i x_q^j - g_{pq}^{\circ}), & \gamma_{pq} &= |x|_{pq} - |x_0|_{pq} \\ g_{pq}^{\circ} &= g_{ij} x_0^i x_0^j, & x_0^i &= \partial x_0^i / \partial \xi^p, & x_p^i &= |x|_{pq} \lambda^{iq} \end{aligned} \quad (2.4)$$

Here  $g_{ij}$  and  $g_{pq}^{\circ}$  are covariant components of the metric tensor in the observer reference system and in the accompanying reference system in the undeformed state,  $|x|_{pq}$  is the modulus of the tensor  $x_p^i$  defined by the Cayley polar expansion [17],  $|x|_{pq}$  is a positive-definite symmetric tensor,  $\lambda^{iq}$  are components of the orthogonal matrix

$$g_{pq}^{\circ} \lambda^{ip} \lambda^{jq} = g^{ij}, \quad g_{ij} \lambda^{ip} \lambda^{jq} = g_0^{pq} \quad (2.5)$$

$|x_0|_{pq}$  is the modulus of the tensor  $x_0^i$ . Juggling of the indices  $p, q, r, \dots$  is accomplished by using the metric  $g_{pq}^{\circ}$ , and the indices  $i, j, k, \dots$  by using the metric  $g_{ij}$ .

If the function  $U$  were convex in  $x_p^i$ , then the dual variational problem could be constructed according to the general rule formulated in [18]. However,  $U$  while convex in  $\varepsilon_{pq}$  or  $\gamma_{pq}$  is not convex in  $x_p^i$ .

The following examples show this.

**Example 1.** Let us consider one-dimensional strain:  $x^1 = x^1(\xi^1)$ ,  $x^2 = \xi^2$ ,  $x^3 = \xi^3$ ,  $g_{11}^{\circ} = 1$  (we later omit the superscript 1). Let  $U$  be a quadratic form in

$\varepsilon_{pq}$ . Then  $U = E\varepsilon^2$ ,  $\varepsilon = \varepsilon_{11} = 1/2 [(\partial x / \partial \xi)^2 - 1]$  in the case under consideration. The nonconvexity of  $U$  in  $\partial x / \partial \xi$  is evident.

Example 2. Let us consider an isotropic elastic semilinear material for which the internal energy density  $U$  has the form

$$U(\gamma_{pq}) = 1/2 \lambda (g_0^{pq} \gamma_{pq})^2 + \mu (\gamma^{pq} \gamma_{pq})$$

Taking (2.4) into account for the two-dimensional problem, we write the function  $U$  as a function of  $x_p^\alpha$ , ( $\alpha, p = 1, 2$ ) for  $\lambda = 0$  in the form

$$U(x_p^\alpha) = \mu \{ (x_1^1)^2 + (x_2^1)^2 + (x_1^2)^2 + (x_2^2)^2 - 2[(x_1^1 + x_2^2)^2 + (x_2^1 - x_1^2)^2]^{1/2} + 2 \}$$

A necessary condition for convexity of a function of many variables is its convexity in each of the variables. Verifying this condition for the function (2.6) reduces to investigating the sign of the second partial derivative of  $U$  with respect to each of the variables  $x_p^\alpha$ . For instance, let us write down  $\partial^2 U / \partial (x_1^1)^2$

$$\frac{1}{2\mu} \frac{\partial^2 U}{\partial (x_1^1)^2} = 1 - \frac{(x_2^1 - x_1^2)^2}{[(x_1^1 + x_2^2)^2 + (x_2^1 - x_1^2)^2]^{3/2}}$$

It is clear that  $x_2^1$ ,  $x_1^2$  and  $x_2^2$  can be selected in such a manner that  $\partial^2 U / \partial (x_1^1)^2 < 0$ . This indicates the nonconvexity of the function  $U$  in  $x_p^i$ .

The static instability of elastic bodies as well as the presence of several equilibrium positions are related to the nonconvexity of the function  $U$  with respect to  $x_p^i$ .

3. Dual variational principle. Let us consider the problem of the minimum of the functional (2.2) in all the functions satisfying the conditions (2.1). Let us assume that the minimum value of the variational problem (2.2), (2.1) is achieved by functions satisfying conditions (2.3).

Let  $U$  be an arbitrary continuously-differentiable function of  $\gamma_{pq}$  (or equivalently, of  $|x|_{pq}$ ). Let us consider the function

$$U = \begin{cases} U(x_p^i), & x_p^i: \det \|x_p^i\| > 0 \\ +\infty, & x_p^i: \det \|x_p^i\| \leq 0 \end{cases} \quad (3.1)$$

Let  $\bar{U}^*(\sigma_i^p)$  be the Young transformation of the functions  $U(x_p^i)$  relative to  $x_p^i$

$$\bar{U}^*(\sigma_i^p) = \sup_{x_p^i} [\sigma_i^p x_p^i - U(x_p^i)] \quad (3.2)$$

Here  $\sigma_i^p$  are dual variables. Let  $\bar{U}^{**}(x_p^i)$  be the Young transformation of the functions  $\bar{U}^*(\sigma_i^p)$

$$\bar{U}^{**}(x_p^i) = \sup_{\sigma_i^p} [x_p^i \sigma_i^p - \bar{U}^*(\sigma_i^p)] \quad (3.3)$$

It is known [19] that  $\bar{U}^{**}(x_p^i)$  is the maximum convex function in  $x_p^i$  that does not exceed  $\bar{U}(x_p^i)$ .

Let us introduce the notation

$$I(x^i) = \int_{V_0} \bar{U}(x_p^i) d\tau - l(x^i), \quad E^*(\sigma_i^p) = \int_{V_0} \bar{U}^*(\sigma_i^p) d\tau$$

$$I^*(\sigma_i^p) = \int_{V_0} \sigma_i^p \frac{\partial x_\Sigma^i}{\partial \xi^p} d\tau - l(x_\Sigma^i)$$

where  $x_{\Sigma}^i$  are arbitrary functions satisfying condition (2. 1).

We formulate the dual variational principle as a problem on the minimum of the functional

$$J (\sigma_i^p) = E^* (\sigma_i^p) - l^* (\sigma_i^p)$$

where the minimum is considered in all the  $\sigma_i^p$  satisfying the conditions

$$\int_{V_0} \sigma_i^p \frac{\partial x^i}{\partial \xi^p} d\tau - l(x^i) = 0 \tag{3.4}$$

By the condition, (3.4) holds for any functions  $x^i$  which vanishes on  $\Sigma$ . If  $\sigma_i^p$  are continuously differentiable, then the constraints (3.4) can be rewritten in the form ( $n_p$  is the normal to  $S$ )

$$\partial \sigma_i^p / \partial \xi^p + F_i = 0 \text{ in } V_0, \quad \sigma_i^p n_p = p_i \text{ on } S \tag{3.5}$$

Let us note that values of the functional  $l^* (\sigma_i^p)$  are independent of the selection of the functions  $x_{\Sigma}^i$  because of (3.4).

**Theorem 1.** The following inequality is valid

$$\sup_{\sigma_i^p \in (3.5)} [-J (\sigma_i^p)] \leq \inf_{x^i \in (2.1)} I (x^i)$$

Writing  $x^i \in (2.1)$  means that  $x^i$  satisfies the conditions (2. 1).

**Proof.** From the assumption that the minimizing element of the variational problem (2. 1), (2. 2) satisfies condition (2. 3), we have

$$\begin{aligned} \inf_{x^i \in (2.1)} I (x^i) &= \inf_{x^i \in (2.1)} I (x^i) \geq \inf_{x^i \in (2.1)} \left[ \int_{V_0} \bar{U}^{**} d\tau - l(x^i) \right] = \\ &= \inf_{x^i \in (2.1)} \sup_{\sigma_i^p} \left[ \int_{V_0} (\sigma_i^p x_p^i - \bar{U}^*) d\tau - l(x^i) \right] \geq \\ &= \sup_{\sigma_i^p \in (3.5)} \inf_{x^i \in (2.1)} \left[ \int_{V_0} \sigma_i^p x_p^i d\tau - l(x^i) - \int_{V_0} \bar{U}^* d\tau \right] = \\ &= \sup_{\sigma_i^p \in (3.5)} [l^* (\sigma_i^p) - E^* (\sigma_i^p)] = \sup_{\sigma_i^p \in (3.5)} [-J (\sigma_i^p)] \end{aligned}$$

Here we used a valid inequality for any functional  $\Phi (x, \sigma)$

$$\inf_x \sup_{\sigma} \Phi (x, \sigma) \geq \sup_{\sigma} \inf_x \Phi (x, \sigma)$$

Therefore, the problem of constructing a dual variational principle reduces to calculating the Young transformation of the function  $\bar{U}$ .

**4. On the Young transformation of the function  $\bar{U}$ .** Let us consider the Young transformation of the function  $\bar{U}$  in  $x_p^i$  (3. 2). Let us note that

$$\bar{U}^* (\sigma_i^p) = \sup_{x_p^i \in (2.3)} [\sigma_i^p x_p^i - U (x_p^i)] \tag{4.1}$$

**Lemma 1.** Let  $\theta^{pq}$  be an arbitrary tensor, and  $\mu_{pq}$  a tensor satisfying the orthogonality conditions

$$g_0^{pq} \mu_{ps} \mu_{qt} = g_{st}^0, \quad \det \|\mu_{pq}\| > 0 \tag{4.2}$$

Then

$$\sup_{\mu_{pq} \in (4.2)} \theta^{pq} \mu_{pq} = |\theta|_1 + |\theta|_2 + |\theta|_3 \operatorname{sgn} \det \|\theta^{pq}\|$$

Here  $|\theta|_i$  are the eigenvalues of the tensor  $|\theta|^{pq}$  arranged in decreasing order.

**P r o o f.** 1°. First let  $\det \|\theta^{pq}\| > 0$ . Using the polar expansion of the tensor  $\theta^{pq}$ , i. e.,  $\theta^{pq} = |\theta|^{pq} \lambda_s^q$ , the assertion of the lemma can be rewritten in the form

$$\sup_{v_{st} \in (4.2)} |\theta|^{ps} v_{ps} = |\theta|_1 + |\theta|_2 + |\theta|_3 \tag{4.3}$$

The tensor  $v_{st}$  which satisfies (4.2) in a smooth coordinate system for the symmetric tensor  $|\theta|^{ps}$  is represented in the form of the product of three elementary rotations with independent Euler parameters  $\varphi_1, \varphi_2, \psi$ .

The proof of (4.3) reduces to evaluating the quantities

$$\begin{aligned} \theta &= \sup_{\varphi_1, \varphi_2, \psi} [A \cos \varphi_1 \cos \varphi_2 - B \sin \varphi_1 \sin \varphi_2 + |\theta|_3 \cos \psi] \tag{4.4} \\ A &= |\theta|_1 + |\theta|_2 \cos \psi, \quad B = |\theta|_1 \cos \psi + |\theta|_2 \end{aligned}$$

Evaluation of the upper bound in  $\varphi_2$  yields

$$\theta = \sup_{\varphi_1, \psi} [(A^2 \cos^2 \varphi_1 + B^2 \sin^2 \varphi_1)^{1/2} + |\theta|_3 \cos \psi]$$

Since  $A \geq 0, A^2 \geq B^2$  (let us recall that  $|\theta|_1 \geq |\theta|_2 \geq |\theta|_3$ ),  $A$  and  $B$  are independent of  $\varphi_1$ , we obtain

$$\theta = \sup_{\psi} (A + |\theta|_3 \cos \psi) = |\theta|_1 + |\theta|_2 + |\theta|_3$$

2°. Let  $\det \|\theta^{pq}\| < 0$ . In this case the assertion of the lemma has the form

$$\sup_{\kappa_{st}} |\theta|^{st} \kappa_{st} = |\theta|_1 + |\theta|_2 - |\theta|_3$$

where the upper bound is considered in all the  $\kappa_{st}$  satisfying the conditions

$$g_0^{pq} \kappa_{ps} \kappa_{qt} = g_{st}^0, \quad \det \|\kappa_{pq}\| < 0 \tag{4.5}$$

In this case the proof of the assertion is analogous to the proof in case 1°. It is just necessary to reverse the sign before the term  $|\theta|_3 \cos \psi$  in (4.4).

**R e m a r k.** Let us note that the assertion of Lemma 1 is not related to the ordering of the eigenvalues for  $\det \|\theta^{pq}\| > 0$ . When  $\det \|\theta^{pq}\| < 0$ , the assertion of the lemma can also be represented in a form not assuming the ordering of the eigenvalues

$$\sup_{\mu_{pq} \in (4.2)} \theta^{pq} \mu_{pq} = \max \{ |\theta|_1 + |\theta|_2 - |\theta|_3, |\theta|_2 + |\theta|_3, -|\theta|_1, |\theta|_3 + |\theta|_1 - |\theta|_2 \}$$

**L e m m a 2.** Let  $U$  depend only on the eigenvalues of the tensor  $|x|_{pq}$  and let  $|\sigma|^{pq}$  be the modulus of the tensor  $\sigma_i^p$ . Then the tensors  $|x|_{pq}$  and  $|\sigma|^{pq}$  are coaxial.

**T h e o r e m 2.** Let the function  $U$  satisfy the conditions of Lemma 2. Then the Young's transformation of the function  $\bar{U}$  is given in a smooth coordinate system for the tensor  $|\sigma|^{pq}$ , by the formula

$$\bar{U}^*(\sigma_i^p) = \begin{cases} U_1^*, & \det \|\sigma_i^p\| > 0 \\ U_2^*, & \det \|\sigma_i^p\| \leq 0 \end{cases} \tag{4.6}$$

$$U_1^* = \sup_{|x|_i > 0} [|x|_i |\sigma|^i - U(|x|_i)]$$

$$U_2^* = \sup_{|x|_i > 0} [\max(\psi_1, \psi_2, \psi_3) - U(|x|_i)]$$

$$\begin{aligned}\psi_1 &= |x|_3 |\sigma|^3 + |x|_2 |\sigma|^2 - |x|_1 |\sigma|^1 \\ \psi_2 &= |x|_3 |\sigma|^3 + |\sigma|_1 |x|^1 - |x|_2 |\sigma|^2 \\ \psi_3 &= |x|_1 |\sigma|^1 + |x|_2 |\sigma|^2 - |x|_3 |\sigma|^3\end{aligned}$$

where  $|x|_i$  and  $|\sigma|_i$  are, respectively, the eigenvalues of the tensors  $|x|_{pq}$  and  $|\sigma|^{ps}$ .

**P r o o f.** Let us substitute the polar expansion of the tensors  $x_p^i$  and  $\sigma_i^p$  in (4.1)

$$\bar{U}^*(\sigma_i^p) = \sup_{x_p^i \in (2.3)} [|\sigma|^{pq} |x|_{ps} v_q^s - U(|x|_{pq})] \quad (4.7)$$

where  $v_q^s$  is a tensor satisfying the conditions

$$g_0^{pq} v_p^s v_q^t = g_0^{st}, \quad \text{sgn det } \|v_q^s\| = \text{sgn det } \|\sigma_i^p\| \quad (4.8)$$

Let us evaluate the upper bound in (4.7) successively by first taking the upper bound in  $v_q^s$  and then in  $|x|_{pq}$ . Since  $U$  is independent of  $v_q^s$ , then finding the upper bound in  $v_q^s$  reduces to evaluating the quantity

$$\sup_{v_q^s \in (4.8)} \theta_s^p v_p^s, \quad \theta_s^p = |\sigma|^{pq} |x|_{qs} \quad (4.9)$$

From the coaxiality of the tensors  $|\sigma|^{pq}$  and  $|x|_{rs}$  the eigenvalues of the tensor  $|\theta|^{pq}$  have the form

$$|\theta|^i = |x|^i |\sigma|^i, \quad i = 1, 2, 3 \quad (4.10)$$

whereupon (4.9), (4.10) and Lemma 1 result in the assertion of the theorem.

In the case of an anisotropic elastic medium, the evaluation of  $\bar{U}^*$  is a complex problem. Let us mention a simple method of estimating the minimum value of the functional (2.3) in this case.

Let  $U(|x|_{pq})$  be the internal energy density,  $s_1(|x|_{pq})$ ,  $s_2(|x|_{pq})$ , and  $s_3(|x|_{pq})$  the first, second, third invariants, respectively, of the tensor  $|x|_{pq}$ . Let us consider the function

$$U_1(r_1, r_2, r_3) = \inf_{|x|_{pq} : s_i = r_i, i=1, 2, 3} U(|x|_{pq})$$

Evidently  $U_1 \leq U$ . The function  $U_1$  can be considered as the internal energy density of some isotropic elastic material whose elastic characteristics are "less" than the elastic characteristics of the initial anisotropic material.

Let us introduce the functional

$$I_1(x^i) = \int_{V_0} U_1 d\tau - l(x^i)$$

and let  $J_1$  denote the functional dual to  $I_1$ . Then

$$\sup_{\sigma_i^p \in (3.8)} (-J_1) \leq \inf_{x^i \in (2.1)} I_1 \leq \inf_{x^i \in (2.1)} l$$

5. The function  $\bar{U}^*$  for a semilinear material. 1°. The function  $\bar{U}^*$  is evaluated especially simply in the case of a semilinear material with zero Lamé coefficient  $\lambda$ . We have from (4.6)

$$U_1^* = 1/4 \mu^{-1} [|\sigma|_1^2 + |\sigma|_2^2 + |\sigma|_3^2] + |\sigma|_1 + |\sigma|_2 + |\sigma|_3$$

and  $U_2^*$  is the maximum of the values at the point  $|\sigma|_i$  for the function

$$\psi ( | \sigma |_1, | \sigma |_2, | \sigma |_3), \psi ( | \sigma |_3, | \sigma |_1, | \sigma |_2), \psi ( | \sigma |_2, | \sigma |_3, | \sigma |_1),$$

where

$$\psi (\alpha_1, \alpha_2, \alpha_3) = 1/4 \mu^{-1} [\alpha_1^2 + \alpha_2^2 + \beta^2] + \alpha_1 + \alpha_2 - \beta$$

$$\beta = \begin{cases} \beta = \alpha_3, & \alpha_3 \leq 2\mu \\ \beta = 2\mu, & \alpha_3 > 2\mu \end{cases}$$

2°. Let us examine the plane case:  $x^\alpha = x^\alpha (\xi^1, \xi^2)$ ,  $x^3 = \xi^3$  (the Greek superscripts run through the values 1, 2). Then  $| \sigma |_3 = 0$ ,  $\gamma_3 = 0$ , and the Young transformation of  $U ( | \sigma |_\alpha )$  has the form

$$U_1^* = 1/4 \mu^{-1} [ | \sigma |_1^2 + | \sigma |_2^2 ] + | \sigma |_1 + | \sigma |_2$$

$$U_2^* = \begin{cases} 1/4 \mu^{-1} [ | \sigma |_1^2 + | \sigma |_2^2 ] + | \sigma |_1 - | \sigma |_2, & | \sigma |_\alpha \in \Sigma_{12} \\ 1/4 \mu^{-1} | \sigma |_1^2 + | \sigma |_1 - \mu, & | \sigma |_\alpha \in \Sigma_1 \end{cases} \quad (1 \leftrightarrow 2)$$

where  $\Sigma_{12}$ ,  $\Sigma_{21}$ ,  $\Sigma_1$ ,  $\Sigma_2$  are domains in the plane  $( | \sigma |_1, | \sigma |_2 )$  indicated in Fig. 1, and  $| \sigma |_\alpha$  are eigenvalues of the tensor  $| \sigma |_{pq}$ .

The function  $U^{**} (x_p^i)$  permits an assessment of the nature of the roughness occurring in going over to the dual variational problem. If  $U^{**} = U$ , then the dual variational problem is an accurate reformulation of the initial problem. If  $U^{**}$  differs negligibly from  $U$ , then it can be expected that the solution of the dual problem will differ negligibly from the initial problem in the energy norm.

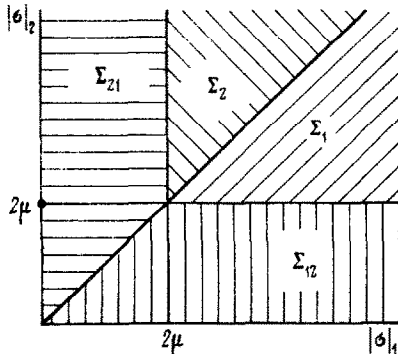


Fig. 1

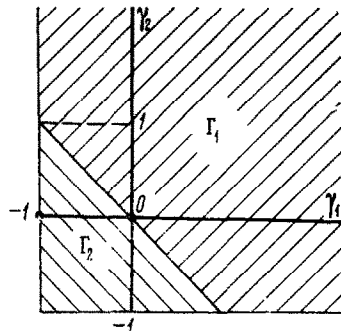


Fig. 2

A calculation by means of (3. 3) yields

$$U^{**} (x_p^i) = \begin{cases} \mu (\gamma_1^2 + \gamma_2^2), & \gamma_\alpha \in \Gamma_1 \\ 1/2 \mu (\gamma_1 - \gamma_2)^2, & \gamma_\alpha \in \Gamma_2 \end{cases}$$

where  $\gamma_\alpha$  are the eigenvalues of the tensor  $\gamma_{\alpha\beta}$ ,  $\Gamma_1, \Gamma_2$  are domains of the plane  $(\gamma_1, \gamma_2)$  indicated in Fig. 2.

Therefore,  $U^{**} = U$  if the deformations lie in the domain  $\Gamma_1$ . This domain consists of tensions along both axes as well as compression along one axis and tension along the other for which the tension is greater than the compression. In the domain  $\Gamma_2$  (all the remaining cases)  $U^{**} < U$ , where in  $\Gamma_2$

$$\bar{U} - \bar{U}^{**} = 1/2\mu (\gamma_1 + \gamma_2)^2 \quad (5.1)$$

If a solid is subjected to deformations for which  $\gamma_\alpha$  lie in the domain  $\Gamma_1$ , then the dual principle yields the same solution as the initial.

If the true deformations  $\gamma_1, \gamma_2$  lie in the domain  $\Gamma_2$ , then the dual principle will not result in the exact solution. The nature of the energy error is determined by (5.1).

3° Let us present the result of evaluating the function  $\bar{U}^*$  for  $\lambda \neq 0$ . According to Theorem 2

$$\bar{U}^*(\sigma_i^p) = \begin{cases} U_1^*(|\sigma|_1, |\sigma|_2, |\sigma|_3), & \sigma_i^p: \det \|\sigma_i^p\| \geq 0 \\ U_2^*(|\sigma|_1, |\sigma|_2, |\sigma|_3), & \sigma_i^p: \det \|\sigma_i^p\| < 0 \end{cases}$$

where  $U_2^*(|\sigma|_i)$  is the maximum value at the point  $|\sigma|_i$  for the functions  $U_1^*(-|\sigma|_1, |\sigma|_2, |\sigma|_3)$ ,  $\bar{U}_1^*(|\sigma|_1, -|\sigma|_2, |\sigma|_3)$ ,  $U_1^*(|\sigma|_1, |\sigma|_2, -|\sigma|_3)$ .

Let us divide the domain of variation of the variables  $|\sigma|_1, |\sigma|_2, |\sigma|_3$  into ten domains  $\Sigma, \Sigma_1, \Sigma_1', \Sigma_1'', \Sigma_2, \Sigma_2', \Sigma_2'', \Sigma_3, \Sigma_3', \Sigma_3''$ . The domains  $\Sigma, \Sigma_1 \Sigma_1' \Sigma_1''$  are defined by the relationships

$$\begin{aligned} \Sigma: & |\sigma|_1 \geq \nu (|\sigma|_2 + |\sigma|_3) - E, \quad |\sigma|_2 \geq \nu (|\sigma|_1 + |\sigma|_3) - E, \quad |\sigma|_3 \geq \nu (|\sigma|_1 + |\sigma|_2) - E \\ \Sigma_1: & |\sigma|_1 < \nu (|\sigma|_2 + |\sigma|_3) - E, \quad |\sigma|_2 \geq \sigma|\sigma|_3 - E/(1-\nu), \\ & |\sigma|_3 \geq \sigma|\sigma|_2 - E/(1-\nu) \\ \Sigma_1': & |\sigma|_1 < \nu (|\sigma|_2 + |\sigma|_3) - E, \quad 0 \leq |\sigma|_2 < \sigma|\sigma|_3 - E/(1-\nu) \\ \Sigma_1'': & |\sigma|_1 < \nu (|\sigma|_2 + |\sigma|_3) - E, \quad 0 \leq |\sigma|_3 < \sigma|\sigma|_2 - E/(1-\nu) \\ & \nu = \frac{\lambda}{2(\lambda + \mu)}, \quad E = \frac{3\lambda + 2\mu}{\lambda + \mu} \mu, \quad \sigma = \frac{\lambda}{\lambda + 2\mu} \end{aligned} \quad (5.2)$$

In these domains the function  $U_1^*(|\sigma|_i)$  has the form

$$\begin{aligned} & 1/4 \mu^{-1} \left[ |\sigma|_1^2 + |\sigma|_2^2 + |\sigma|_3^2 - \frac{\lambda}{3\lambda + 2\mu} (|\sigma|_1 + |\sigma|_2 + |\sigma|_3)^2 \right] + \\ & \quad |\sigma|_1 + |\sigma|_2 + |\sigma|_3 \quad \text{in } \Sigma \\ & 1/4 \mu^{-1} \left[ |\sigma|_2^2 + |\sigma|_3^2 - \frac{\lambda}{2(\lambda + \mu)} (|\sigma|_2 + |\sigma|_3 - 2\mu)^2 \right] + \\ & \quad |\sigma|_2 + |\sigma|_3 - \mu \quad \text{in } \Sigma_1 \\ & \frac{(|\sigma|_3 + 2\lambda)^2}{2(\lambda + 2\mu)} + |\sigma|_3 - 2(\lambda + \mu) \quad \text{in } \Sigma_1' \\ & \frac{(|\sigma|_2 + 2\lambda)^2}{2(\lambda + 2\mu)} + |\sigma|_2 - 2(\lambda + \mu) \quad \text{in } \Sigma_1'' \end{aligned} \quad (5.3)$$

The domains  $\Sigma_2, \Sigma_2', \Sigma_2'', \Sigma_3, \Sigma_3', \Sigma_3''$  and the values of the function  $U_1^*$  at these domains are obtained from (5.2) and (5.3) by cyclic permutation of the subscripts.



The form of the functions  $U_1^*$  can be simplified substantially if a neighborhood of the unstressed state of radius  $r_0$  is considered, where  $r_0 = E / \sqrt{1 + 2\nu^2}$  (it is easy to see that the point  $(0, 0, 0)$  in the space of the variables  $(|\sigma|_1, |\sigma|_2, |\sigma|_3)$  belongs to  $\Sigma$ , and  $r_0$  is the distance from the point  $(0, 0, 0)$  to each of the planes bounding  $\Sigma$ ).

Then in the neighborhood under consideration

$$\bar{U}^*(\sigma_i^p) = 1/4 \mu \left[ |\sigma|_1^2 + |\sigma|_2^2 + |\sigma|_3^2 - \frac{\nu}{1+\nu} (|\sigma|_1 + |\sigma|_2 + |\sigma|_3)^2 \right] + |\sigma|_3 + |\sigma|_2 + |\sigma|_1$$

for  $\det \|\sigma_i^p\| \geq 0$ .

If  $\det \|\sigma_i^p\| < 0$

$$\bar{U}^*(\sigma_i^p) = 1/4 \mu \left[ |\sigma|_1^2 + |\sigma|_2^2 + |\sigma|_3^2 - \frac{\nu}{1+\nu} (|\sigma|_1 + |\sigma|_2 - |\sigma|_3)^2 \right] + |\sigma|_1 + |\sigma|_2 - |\sigma|_3$$

where the eigenvalues of the tensor  $|\sigma|^{pq}$  are enumerated in decreasing order.

#### REFERENCES

1. Synge, J. L. The Hypercircle in Mathematical Physics. Cambridge Univ. Press, 1957.
2. Zubov, L.M. The stationarity principle of complementary work in nonlinear theory of elasticity, PMM, Vol. 34, No. 2, 1970.
3. Kötter, W. T., On the principle of stationary complementary energy in the nonlinear theory of elasticity, SIAM J. Appl. Math. Vol. 25, No. 3, 1973.
4. Zubov, L. M., The representation of the displacement gradient of isotropic elastic body in terms of the Piola stress tensor, PMM, Vol. 40, No. 6, 1976.
5. Langaar, H. L., The principle of complementary energy in nonlinear elasticity theory. J. Franklin Inst., Vol. 256, No. 3, 1953.
6. Pipes, L. A., The principle of complementary energy in nonlinear elasticity, J. Franklin Inst., Vol. 274, No. 3, 1962.
7. Libove, R., Complementary energy method for finite deformations, J. Engng. Mech. Dev., Proc. Amer. Soc. Civ. Engng, Vol. 6, 1974.
8. Levinson, M., The complementary energy theorem in elasticity, Trans. ASME, Ser. E. J. Appl. Mech. Vol. 32, No. 4, 1965.
9. Christoffersen, J., On Zubov's principle of stationary complementary energy in the nonlinear theory of elasticity. DCAMSU, Re 44, April 1973.
10. De Veubeke, B. F., New variational principle in the theory of elasticity with finite deformations, IN: Progress in the Mechanics of Deformable Media, "Nauka", Moscow, 1975.

11. Koiter, W. T., Complementary energy, neutral equilibrium, and buckling. Proc. Koninkl. Nederl. Acad. Wet. B, Vol. 79, No. 3, 1976.
12. Lure'e, A. I., Theory of Elasticity, "Nauka", Moscow, 1970.
13. Stumpf, H., Dual extremum principles and error bounds in the theory of plates with large deflections, Arch. Mech. Stosowanej, Vol. 27, No. 3, 1975.
14. Bogoliubov, N. N., On some new methods in the calculus of variations, IN: Selected Works, Vol. 1, "Naukova Dumka", Kiev, 1969.
15. Ekeland, I. and Temam, R., Analyse Convexe et Problemes Variationels, Dunod, Gautier Villars, Paris, 1974.
16. Sedov, L. I., Mechanics of Continuous Medium, Vol. 2, "Nauka", Moscow, 1973 (see also English translation, Pergamon Press, Book No. 09878, 1965).
17. Gantmakher, F. R., Theory of Matrices, English translation, Chelsea, New York, 1973.
18. Berdichevskii, V. L., On a variational principle, Dokl. Akad. Nauk, SSSR, Vol. 215, No. 6, 1974.
19. Rockafeller, R., Convex Analysis. Princeton. N. Y., Princeton University Press, 1970.

Translated by M. D. F.

---